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PATENT

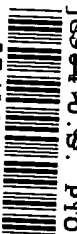
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Patent Application Transmittal

1050 U.S. PTO  
09/915884

07/26/01

07/26/01



Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231  
Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: Joseph Paul Kuczynski

For: OPTICAL SUBASSEMBLY (OSA) FOR OPTOELECTRONIC MODULES, AND  
METHOD OF MAKING SAME

ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION  
ASSIGNEE RESIDENCE: ARMONK, NEW YORK

Enclosed are:

- ☒ 13 Pages of Specification, 4 Pages of Claims, 1 Page of Abstract, 4 Sheets of Drawings
- ☒ A Declaration and Power of Attorney
- ☒ An Information Disclosure Statement and Form PTO-1449
- ☐ A certified copy of a \_\_\_\_\_ application, Application No. \_\_\_\_\_, filed \_\_\_\_\_
- ☒ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504 and Recordation form PTO-1595
- ☐

The filing fee has been calculated as follows:

For:	No. Filed	No. Extra
Basic Fee		
Total Claims	21 - 20 =	1
Indep. Claims	3 - 3 =	0
<input type="checkbox"/> Multiple Dependent Claim Presented		

Other Than Small Entity

Rate	Fee
	\$ 710.00
x \$18.00=	18.00
x \$80.00=	0.00
\$270.00	\$ 0.00
<b>TOTAL</b>	<b>728.00</b>

## EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EK208429710US  
Date: July 26, 2001

I hereby certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*James R. Nock*  
James R. Nock

Patent Application Transmittal  
Attorney Docket No.: ROC920010031US1

Deposit Account Authorization:

- ☒ Please charge Deposit Account No. 09-0465 in the amount of \$728.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

By James R. Nock  
James R. Nock  
Registration No.: 42,937

Date: July 26, 2001

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Attorney Docket No.: ROC920010031US1